Spectral insights into shape optimization

Evans Harrell Georgia Tech www.math.gatech.edu/~harrell May, 2015



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Why has Georgia Tech come to

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Why has Georgia Tech come to

• The State of Georgia and Georgia Tech have had close connections to Africa for a long time.





AFRICA ATLANTA 2014

http://africaatlanta.org/







Georgia

GT AFRICA PROJECTS, 2014

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14	Bartholdi, Johr Supply chains ISYE	COE	South Africa	Leon, Roberto Earthquke Eng CEE	COE				
-1-7-	Bauchspies, W Sustainability, HTS	IAC	West Africa	Lurie, Nicholas Marketing Mgt.	COM	Cameroon			
254	Best, Michael EHELD Bid CC	COC	Liberia	McIntyre, Johr CIBER Center Mgt.	CoM	??			
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Why has Georgia Tech come to

The State of Georgia and Georgia Tech have had close connections to Africa for a long time.
Where there are needs there are opportunities for technology, in particular mathematics.



Langue de Barbarie, 2003





Langue de Barbarie : la brèche de l'espérance ?

Mary Teuw Niane, Université Gaston Berger, Sénégal, <u>niane@ugb.sn</u> Abdou Sène, Université Gaston Berger, Sénégal, <u>asene@ugb.sn</u> Saint-Louis, le 01 janvier 2004

L'ouverture d'une brèche sur la Langue de Barbarie dans la nuit du vendredi 03 au samedi 04 octobre 2003 a sauvé une bonne partie de la ville de Saint-Louis et ses environs d'une inondation catastrophique certaine. D'ailleurs, depuis la mi-septembre certaines localités de Gandiole comme Pilot étaient sous les eaux et certaines zones de Pikine, Diameguène, Léona et Darou gardent encore les stigmates d'une saison des pluies certes tardive mais ayant donné de fortes averses et par conséquent des flaques très persistantes.

A la joie légitime et débordante des populations et des autorités de voir le niveau du fleuve baisser inexorablement a succédé l'étonnement voire l'inquiétude de constater qu'en plein mois de novembre les eaux du fleuve Sénégal s'étaient retirées de plusieurs arches et des enfants jouaient en toute innocence et gaieté sur une bonne partie du lit du fleuve. Ce spectacle presque

Langue de Barbarie, 2005





Why has Georgia Tech come to

The State of Georgia and Georgia Tech have had close connections to Africa for a long time.
Where there are needs there are opportunities for technology in particular mathematics.

• Opportunities for science and education will greatly increase in Africa.



The Next Einstein Project





Spectral insights into shape optimization

Evans Harrell Georgia Tech www.math.gatech.edu/~harrell May, 2015



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What do eigenvalues tell us about shapes?

 M. Kac, Can one hear the shape of a drum?, Amer. Math. Monthly, 1966.

$$-\Delta \mathbf{u} = (\omega/c)^2 \mathbf{u} =: \lambda \mathbf{u}.$$



A recent trend in "data mining"

Sonification." Turn data into sound and have people listen to it. The ear is very quick to pick out patterns. We understand much about a shape from the sounds it makes, but what we understand by ear isn't always the same as what we understand by eye.

Well, can one hear the shape of a drum?

Well, can one hear the shape of a drum?



Gordon, Webb, and Wolpert, 1991

Some things are "audible"

You can hear the area of the drum, by the Weyl asymptotics:
For the drum problem λ_k ~ C_d (Vol(Ω)/k)^{2/d}.
(A mathematician's drum can be d-dimensional, and even a curved manifold.)

Some things are "audible"

You can hear the area of the drum, by the Weyl asymptotics:
 For the drum problem

 λ_k ~ C_d (Vol(Ω)/k)^{2/d}.

 Notice that in addition to the volume, we can hear the dimension.



To extremists, things tend to sound simple...



Frontiers in Mathematics Birkhäuser

Antoine Henrot

5.4. Case of higher eigenvalues

No

3

4

5

6

7

8

9

10

extremum always a union of round shapes?

Is the





Universal inequalities

They hold for all operators of a given type (say, Laplacians on a domain), and we can ask for the optimal shapes for those inequalities and for best constants. *"Universal" constraints on the spectrum* H. Weyl, 1910, Laplace, λ_n ~ n^{2/d}
 W. Kuhn, F. Reiche, W. Thomas, W. Heisenberg, 1925, "sum rules" for atomic energies.

 L. Payne, G. Pólya, H. Weinberger, 1956: The gap is controlled by the average of the smaller eigenvalues:

$$\lambda_{n+1} - \lambda_n \le \frac{4}{d} \frac{1}{n} \sum_{k \le n} \lambda_k$$

"Universal" constraints on the spectrum with phase-space volume. Lieb -Thirring, 1977, for Schrödinger $\epsilon^{\mathbf{d}/\mathbf{2}} \sum_{\lambda_{\mathbf{j}}(\epsilon) < \mathbf{0}} |\lambda_{\mathbf{j}}(\epsilon)|^{
ho} \leq \mathbf{L}_{
ho, \mathbf{d}} \int (\mathbf{V}_{-}(\mathbf{x}))^{
ho + \mathbf{d}/\mathbf{2}} \, \mathbf{d} \mathbf{x}$ + Li - Yau, 1983 (Berezin 1973), for Laplace $\sum_{j=1}^{n} \lambda_j \ge \frac{d}{d+2} \frac{4\pi^2 k^{1+2/d}}{(C_d |\Omega|)^{2/d}}$ + Bounds on ratios (Harrell-Hermi) of averages (k>j) $\frac{\overline{\lambda_k}}{\overline{\lambda_i}} \le \frac{4+d}{2+d} \left(\frac{k}{i}\right)^{2/d}$

"Universal" constraints on the spectrum

 Ashbaugh-Benguria 1991, isoperimetric conjecture of PPW proved.

- H. Yang 1991, unpublished, formulae like PPW, respecting Weyl asymptotics for the first time.
- Harrell 1993-present, commutator approach; with Michel, Stubbe, El Soufi and Ilias, Hermi, Yildirim.
- Ashbaugh-Hermi, Levitin-Parnovsky, Cheng-Yang, Cheng-Chen, some others.

Two strategies for obtaining universal inequalities and finding cases of optimum

1. Algebraic methods based on commutators of operators.

Cases of optimality are approached by seeing which ones produce simple relations among commutators. Two strategies for obtaining universal inequalities and finding cases of optimum

1. Algebraic methods based on commutators of operators.

2. A new variational principle involving averaging.

Cases of optimality are obtained by microlocal or semiclassical techniques

Commutators of operators

[G, [H, G]] = 2 GHG - G²H - HG²
 Etc., etc. Typical consequence:

$$\langle \phi_{\mathbf{j}}, [\mathbf{G}, [\mathbf{H}, \mathbf{G}]] \phi_{\mathbf{j}}
angle = \sum_{\mathbf{k}: \lambda_{\mathbf{k}} \neq \lambda_{\mathbf{j}}} (\lambda_{\mathbf{k}} - \lambda_{\mathbf{j}}) |\mathbf{G}_{\mathbf{k}\mathbf{j}}|^2$$

(Abstract version of Hans Bethe's sum rule from ~1930)

A "sum rule" identity for
$$H=H^*$$
,
G=G*, If J = { λ_1 , ..., λ_k } $\leq z \leq J^c$:

$$\sum_{\lambda_j \in J} (z - \lambda_j)^2 \left\langle [G, [H, G]] \phi_j, \phi_j \right\rangle - \sum_{\lambda_j \in J} (z - \lambda_j) \| [H, G] \phi_j \|^2$$

$$\sum_{\lambda_j \in J} \sum_{\lambda_k \in J^c} \left(z - \lambda_j \right) (z - \lambda_k) (\lambda_k - \lambda_j) |\langle G\phi_j, \phi_k \rangle|^2$$

2

Harrell-Stubbe TAMS 1997

TRANSACTIONS

For $J = \{\lambda_1, \dots, \lambda_n, \text{ the right side } \leq 0!$

Submanifolds (arbitrary codimension) - with El Soufi & Ilias

Theorem 2.1 Let $X : M \longrightarrow \mathbb{R}^m$ be an isometric immersion. We denote by h the mean curvature vector field of X (i.e the trace of its second fundamental form). For any bounded potential q on M, the spectrum of $H = -\Delta + q$ (with Dirichlet boundary conditions if $\partial M \neq \emptyset$) must satisfy, $\forall k \ge 1$,

$$(I) \ n \sum_{i=1}^{k} (\lambda_{k+1} - \lambda_i)^2 \le 4 \sum_{i=1}^{k} (\lambda_{k+1} - \lambda_i) \left(\lambda_i + \delta_i\right)$$

 $\delta_i := \int_M \left(\frac{|h|^2}{4} - q\right) u_i^2$

Submanifolds - Result is optimal

$$n\sum_{i=1}^{k} \left(\lambda_{k+1}^{sphere} - \lambda_{i}^{sphere}\right)^{2} = \sum_{i=1}^{k} \left(\lambda_{k+1}^{sphere} - \lambda_{i}^{sphere}\right) \left(4\lambda_{i}^{sphere} + n^{2}\right)$$



Statistics of spectra

$\left(\left(1+\frac{2}{d}\right)\overline{\lambda_k}\right)^2 - \left(1+\frac{4}{d}\right)\overline{\lambda_k^2} \ge 0.$

A reverse Cauchy inequality:

The variance is dominated by the square of the mean.

Averaging and spectral inequalities

The dimension of information in a graph

 $\sum_{i=1}^{k-1} \lambda_j \le \frac{\pi^2 |\mathcal{E}|}{3} \left(\frac{k}{|\mathcal{V}|}\right)^{1+\frac{2}{\nu}}$

Harrell-Stubbe, to appear in Linear Algebra and Applications



There are 74 full wikipedia pages on PDE, but they are not all connected/

The "adjacency matrix" for PDEs in wikipedia

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The distribution of eigenvalues of the 'graph Laplacian" for this graph indicates that it is dominantly 3 dimensional.

A new tool: an averaged variational principle for sums

 $\frac{1}{k}\sum_{j=0}^{k-1}\mu_j \leq \frac{1}{|\mathfrak{M}_0|}\int_{\mathfrak{M}_0}\frac{Q_M(f_{\zeta},f_{\zeta})}{\|f_{\zeta}\|^2}d\sigma.$

Variational bounds on graph spectra

In 1992 Pawel Kröger found a variational argument for the Neumann counterpart to Berezin-Li-Yau, i.e. a Weyl-sharp upper bounds on sums of the eigenvalues of the Neumann Laplacian.

• BLY:
$$\sum_{j=1}^{k} \lambda_j \ge \frac{d}{d+2} \frac{4\pi^2 k^{1+2/d}}{(C_d |\Omega|)^{2/d}}$$

• Kröger:
$$\sum_{j=0}^{k-1} \mu_j \le \frac{d}{d+2} \frac{4\pi^2 k^{1+2/d}}{(C_d |\Omega|)^{2/d}}$$

The weak form of PDEs with Neumann BC

We can find sharp upper bounds for sums of eigenvalues of expressions defined in a variational quadratic form as follows:

$$\mathcal{E}(arphi) := rac{\int_{\Omega} (|
abla arphi(\mathbf{x})|^2 + V(\mathbf{x})|arphi(\mathbf{x})|^2) w(\mathbf{x}) e^{-2
ho(\mathbf{x})} dv_g}{\int_{\Omega} |arphi(\mathbf{x})|^2 e^{-2
ho(\mathbf{x})} dv_g}$$

Where Ω is a domain in a homogeneous space, which has been conformally transformed in an arbitrary way. Weak Neumann conditions correspond to test functions in the restriction of H₀¹(R^d) to Ω . (Evans and Edmunds)



Theorem 1.2. Let $\mu_0 \leq \mu_1 \leq \ldots$ be the variationally defined Neumann eigenvalues (3) on a bounded open set $\Omega \subset \mathbb{R}^{\nu}$ endowed with the standard Euclidean metric, where w, ρ , and V satisfy the assumptions stated above. Then

$$\frac{1}{k}\sum_{j=0}^{k-1}\mu_j \le \frac{4\pi^2\nu}{\nu+2} \left(\frac{k}{|\Omega|\omega_\nu}\right)^{\frac{2}{\nu}} \oint_{\Omega} w(\mathbf{x})d^\nu x + \oint_{\Omega} \widetilde{V}(\mathbf{x})w(\mathbf{x})d^\nu x, \qquad (9)$$

where $\widetilde{V}(\mathbf{x}) := V(\mathbf{x}) + |\nabla \rho|^2(\mathbf{x})$ and, for every $f \in L^1(\Omega)$, $\oint_{\Omega} f(\mathbf{x}) d^{\nu} x = \frac{1}{|\Omega|} \int_{\Omega} f(\mathbf{x}) d^{\nu} x$ is the mean value of f with respect to Lebesgue measure.



Theorem 3.1. Let (M,g) be a Riemannian manifold of dimension $\nu \geq 2$. Let $\mu_l = \mu_l(\Omega, g, \rho, w, V), l \in \mathbb{N}$, be the eigenvalues defined by (2) and (3) on a bounded open set $\Omega \subset M$, where w, ρ , and V satisfy the assumptions stated above. Then

(1) For all $z \in \mathbb{R}$,

$$\sum_{j\geq 0} \left(z-\mu_j\right)_+ \geq \frac{2 \ |\Omega|_g}{(\nu+2)H_\Omega} \left(\int_\Omega w \, dv_g\right)^{-\frac{\nu}{2}} \left(z-\int_\Omega \widetilde{V}w \, dv_g\right)_+^{1+\frac{\nu}{2}} \tag{20}$$

where $\widetilde{V} = V + |\nabla^g \rho|^2$. (2) For all $k \in \mathbb{N}$,

$$\frac{1}{k}\sum_{j=0}^{k-1}\mu_j \le \frac{\nu}{\nu+2} \left(\frac{H_\Omega}{|\Omega|_g}k\right)^{\frac{2}{\nu}} \oint_\Omega w \, dv_g + \oint_\Omega \widetilde{V}w \, dv_g. \tag{21}$$





An averaged variational principle for sums

Theorem 3.1 Consider a self-adjoint operator M on a Hilbert space \mathcal{H} , with ordered, entirely discrete spectrum $-\infty < \mu_0 \leq \mu_1 \leq \ldots$ and corresponding normalized eigenvectors $\{\psi^{(\ell)}\}$. Let f_{ζ} be a family of vectors in $\mathcal{Q}(M)$ indexed by a variable ζ ranging over a measure space $(\mathfrak{M}, \Sigma, \sigma)$. Suppose that \mathfrak{M}_0 is a subset of \mathfrak{M} . Then for any eigenvalue μ_k of M,

$$\mu_{k} \left(\int_{\mathfrak{M}_{0}} \langle f_{\zeta}, f_{\zeta} \rangle \, d\sigma - \sum_{j=0}^{k-1} \int_{\mathfrak{M}} |\langle f_{\zeta}, \psi^{(j)} \rangle|^{2} \, d\sigma \right) \\
\leq \\
\int_{\mathfrak{M}_{0}} \langle H f_{\zeta}, f_{\zeta} \rangle \, d\sigma - \sum_{j=0}^{k-1} \mu_{j} \int_{\mathfrak{M}} |\langle f_{\zeta}, \psi^{(j)} \rangle|^{2} \, d\sigma,$$
(3.2)

provided that the integrals converge.

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$$\begin{aligned}
\mu_{k} \left(\int_{\mathfrak{M}_{0}} \langle f_{\zeta}, f_{\zeta} \rangle \, d\sigma - \sum_{j=0}^{k-1} \int_{\mathfrak{M}} |\langle f_{\zeta}, \psi^{(j)} \rangle|^{2} \, d\sigma \right) \\
\leq \\
\int_{\mathfrak{M}_{0}} \langle Hf_{\zeta}, f_{\zeta} \rangle \, d\sigma - \sum_{j=0}^{k-1} \mu_{j} \int_{\mathfrak{M}} |\langle f_{\zeta}, \psi^{(j)} \rangle|^{2} \, d\sigma,
\end{aligned} \tag{3.2}$$

provided that the integrals converge.

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Proof. By integrating (3.1),

$$\mu_{k} \int_{\mathfrak{M}_{0}} \left(\langle f_{\zeta}, f_{\zeta} \rangle - \langle P_{k-1}f, P_{k-1}f_{\zeta} \rangle \right) d\sigma$$

$$\leq \int_{\mathfrak{M}_{0}} \langle Mf_{\zeta}, f_{\zeta} \rangle d\sigma - \int_{\mathfrak{M}_{0}} \langle MP_{k-1}f_{\zeta}, P_{k-1}f_{\zeta} \rangle d\sigma,$$
(3.3)

or

$$\mu_k \int_{\mathfrak{M}_0} \left(\langle f_{\zeta}, f_{\zeta} \rangle - \sum_{j=0}^{k-1} |\langle f_{\zeta}, \psi^{(j)} \rangle|^2 \right) d\sigma$$

$$\leq \int_{\mathfrak{M}_0} \langle M f_{\zeta}, f_{\zeta} \rangle \, d\sigma - \int_{\mathfrak{M}_0} \sum_{j=0}^{k-1} \mu_j |\langle f_{\zeta}, \psi^{(j)} \rangle|^2 \, d\sigma.$$
(3.4)

 \square

Since μ_k is larger than or equal to any weighted average of $\mu_1 \dots \mu_{k-1}$, we add to (3.4) the inequality

$$-\mu_k \int_{\mathfrak{M}\backslash\mathfrak{M}_0} \left(\sum_{j=0}^{k-1} |\langle f_{\zeta}, \psi^{(j)} \rangle|^2 \right) d\sigma \leq -\int_{\mathfrak{M}\backslash\mathfrak{M}_0} \sum_{j=0}^{k-1} \mu_j |\langle f_{\zeta}, \psi^{(j)} \rangle|^2 d\sigma, \qquad (3.5)$$

and obtain the claim.

How to use the averaged variational principle to get sharp results?

$$\begin{aligned} &\mu_k \left(\int_{\mathfrak{M}_0} \langle f_{\zeta}, f_{\zeta} \rangle \, d\sigma - \sum_{j=0}^{k-1} \int_{\mathfrak{M}} |\langle f_{\zeta}, \psi^{(j)} \rangle|^2 \, d\sigma \right) \\ &\leq \\ &\int_{\mathfrak{M}_0} \langle H f_{\zeta}, f_{\zeta} \rangle \, d\sigma - \sum_{j=0}^{k-1} \mu_j \int_{\mathfrak{M}} |\langle f_{\zeta}, \psi^{(j)} \rangle|^2 \, d\sigma, \end{aligned}$$

How to use the averaged variational principle to get sharp results?



$$\int_{\mathfrak{M}_0} \langle Hf_{\zeta}, f_{\zeta} \rangle d\sigma - \sum_{j=0}^{k-1} \mu_j \int_{\mathfrak{M}} |\langle f_{\zeta}, \psi^{(j)} \rangle|^2 \, d\sigma,$$

How to use the averaged variational principle to get sharp results?

Ans: If \mathfrak{M}_0 is large enough that

$$\int_{\mathfrak{M}_0} \langle f_{\zeta}, f_{\zeta} \rangle \, d\sigma \geq \sum_{j=0}^{k-1} \int_{\mathfrak{M}} |\langle f_{\zeta}, \psi^{(j)} \rangle|^2 \, d\sigma$$

then

$$\sum_{j=0}^{k-1} \mu_j \int_{\mathfrak{M}} |\langle f_{\zeta}, \psi^{(j)} \rangle|^2 \, d\sigma \leq \int_{\mathfrak{M}_0} \langle M f_{\zeta}, f_{\zeta} \rangle d\sigma$$

